### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT APPLICATION TRANSMITTAL UNDER 37 CFR 1.53

10/627373 10/627373 10/627373

MAIL STOP SEQUENCE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of:

Title: MATERIALS AND METHODS RELATING TO THERAPY AND DIAGNOSIS

USING TARGETING OF CELLS THAT EXPRESS JPL POLYPEPTIDES

Inventor(s): Peter C. R. EMTAGE, Y. Tom TANG, Qing A. ZHAO, Chenghua LIU and

Radoje T. DRMANAC

Applicant(s) hereby request(s) that the above-captioned application <u>NOT BE PUBLISHED</u> under 35 U.S.C. § 122(b) and 37 C.F.R. § 1.211. The undersigned hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Applicant(s) suggest(s) Figure \* for inclusion on the front page of the patent application publication and patent

## **CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this correspondence and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on July 24, 2003, in an envelope addressed to: Mail Stop SEQUENCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailine Label No. EV 331 980 986 US.

a p. w

Donna B. Rose

## 1. Type of application

This is a new utility application.

Applicants claim small entity status (See 37 CFR 1.27).

## 2. Application Papers Enclosed

- 1 Title Page
- 168 Pages of Specification (excluding Claims, Abstract and Drawings)
- 7 Page(s) of Claims
- 1 Page(s) of Abstract
- 4 Sheet(s) of Drawings (Figs. 1-4) Formal O Informal
- 22 Page(s) of Sequence Listing

### 3. Oath or Declaration

- O An executed declaration of the inventor(s) is enclosed.
- Unexecuted the undersigned attorney or agent is authorized to file this application on behalf of the applicant(s). An executed declaration will follow.

Docket No.: NUVO-05

### 4. Additional Papers Enclosed

- Preliminary Amendment
- Information Disclosure Statement
- Declaration of Biological Deposit
- Computer readable copy of sequence listing containing nucleotide and/or amino acid sequence (2 CD-ROMs)
- Statement Regarding Sequence Listing Under 37 CFR §1.821(f)
- Statement under 37 CFR §1.52(e)(3)
- O Assignment Document with Assignment Recordation Cover Sheet
- O A bibliographic data entry sheet
- Verified translation of a non-English patent application
- Return receipt postcard
- Other

## 5. Priority Applications Under USC 119

Certified copies of applications from which priority under 35 USC 119 is claimed are listed below and

o are attached. o will follow

# 6. Filing Fee Calculation (37 CFR 1.16)

			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$375.00		\$750.00
TOTAL	49-20	<b>= 29</b>	X 9=	\$261.00	X 18≈	\$0.00
INDEP.	20-3	<b>= 17</b>	X 42 =	\$714.00	X 84 =	\$0.00
First Presentation of Multiple Dependent Claim			+ 140 =	\$140.00	+ 280 =	\$0.00
FILI			ING FEE:	\$1,490.00	OR	\$0.00

В.	0	Design Application (\$165.00/\$330.00)	Filing Fee:	\$					
C.	0	Plant Application (\$260.00/\$520.00)	Filing Fee:	\$					
D.	Othe	er fees							
	0	Recording Assignment [Fee \$40.00 per assignment] \$							
	0	<ul> <li>Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to</li> </ul>							
		sign or cannot be reached [Fee \$130.00	\$						
		TOTAL FEES EN	CLOSED	\$_1,490.00					

# 7. Method of Payments of Fees

Enclosed check

Charge Deposit Account No. 501169. A duplicate copy of this transmittal is enclosed

# 8. Deposit Account and Refund Authorization

 The Commissioner is hereby authorized to charge payment of any additional fees due or credit any overpayment to Deposit Account No. 501169. A duplicate copy of this transmittal is enclosed.

Please direct all future correspondence to Elena Quertermous at the address below.

Respectfully submitted,

Date: July 24, 2003

Agent for Applicants Registration No. 47,873

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675 Almanor Avenue

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Peter C.R. Emtage et al.

Not vet assigned

Serial No: Filed:

July 24, 2003

For:

MATERIALS AND

THERAPY AND DIAGNOSIS USING

THAT EXPRESS JPL

METHODS RELATING TO

TARGETING OF CELLS POLYPEPTIDES

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

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331 980 986 US.

Name of Person signing Certificate: Donna B. Rose

## STATEMENT UNDER 37 CFR §1.52(e)(3)

MAIL STOP SEQUENCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir

I hereby state the material on the paper copy and the compact disc contain the file named "JPL seq list, ST25final, txt", were created on an IBM PC, Windows 2000 operating system on July 23, 2003, 3:26:05 PM and contains a size of 48.0 KB (49,152 bytes).

Respectfully submitted,

Date: July 24, 2003

By: Elena Quertermous, Ph.D.

Agent for Applicants Registration No. 47,873 Customer No. 34285

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